



SOC 2 Readiness Scorecard

Step 1: Scope

Define what's in and out so you're testing the right system, processes, and boundaries.

System/product in scope:

Processes in scope:

Boundaries & dependencies (incl. subservice orgs):

Report type: ☐ SOC 2 Type I ☐ SOC 2 Type II

Trust Services Categories: ☐ Security ☐ Availability

☐ Confidentiality ☐ Processing Integrity ☐ Privacy

Step 2: Map

Link every control theme to its owner, system, and real evidence.

Control theme	Typical evidence auditors test	Where it lives	Owner	Project Sponsor	Status
Scope clarity	System boundaries, dependencies, subservice orgs				☐ Have ☐ Needs work ☐ Missing
Risk & policies	Security policies, risk assessments, training records				☐ Have ☐ Needs work ☐ Missing
Access controls	Provisioning records, MFA, terminations, periodic review				☐ Have ☐ Needs work ☐ Missing
Change management	PRs, approvals, segregation of duties, release notes				☐ Have ☐ Needs work ☐ Missing
Logging & incident monitoring	Logs, alerts, penetration testing, incident tickets				☐ Have ☐ Needs work ☐ Missing
Vendors & data handling	Vendor inventory, SOC reports, encryption settings				☐ Have ☐ Needs work ☐ Missing

Step 3: Score

Rate each area from 0–5 to measure your readiness today.

Category	Score (0-5)
Scope clarity	☐ 0 ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5
Risk & policies	☐ 0 ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5
Access controls	☐ 0 ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5
Change management	☐ 0 ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5
Logging & incidents	☐ 0 ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5
Vendors & data handling	☐ 0 ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5
Total (out of 30): _____	

Step 4: Interpret

Use your total score to decide if you're audit-ready, need remediation, or should start with a readiness assessment.



22–30: Likely audit-ready. Time to validate & schedule your audit.



13–21: Good base, but gaps remain. Prioritize remediation.



0–12: Start with a structured readiness assessment.

Pro Tip: Focus on your lowest scoring areas first to build momentum and show measurable progress before your audit.

Step 5: Choose Your Report

Once you know your score, the next step is deciding which report type fits your needs.

Type I – A snapshot that proves your controls are designed effectively at a single point in time. Best for early-stage readiness or when customers want quick assurance.

Type II – A deeper review that proves your controls are both designed and operating effectively over a defined period. This is the gold standard most enterprise clients expect.

Pro Tip: Plan ahead. Choosing Type II means setting a clear audit window and collecting evidence throughout.



Next Steps: Turn Your Score Into Action

Your readiness score is just the starting point. Here's how to put it to work:

- **High score (22–30):** Validate your results and lock in an audit window.
- **Mid score (13–21):** Close critical gaps with targeted remediation before moving forward.
- **Low score (0–12):** Start with a structured readiness assessment to build a solid foundation.

No matter your score, SAV Associates helps you shorten the path to SOC 2 compliance, avoid costly missteps, and build trust with customers and investors. Take the next step today.

Book a 30-minute consult with our SOC 2 team.

About SAV Associates

SAV Associates is a full-service CPA firm and a recognized leader in SOC reporting, audits, and readiness assessments. With offices in Canada and the U.S., we combine the depth of Big 4 expertise with the agility of a boutique practice.

Our Assurance & Risk Advisory team helps SaaS companies, startups, and enterprise clients navigate the complexity of SOC 2 and related frameworks with confidence. We don't just deliver reports — we guide clients through readiness, close critical gaps, and build stronger control environments that stand up to scrutiny.

By partnering with SAV, organizations accelerate enterprise sales cycles, strengthen trust with customers and investors, and reduce the risks and costs of failed or delayed audits.

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